

# Enhanced low-temperature entropy and flat-band ferromagnetism in the $t - J$ model on the sawtooth lattice

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## Abstract

Using the example of the sawtooth chain, we argue that the  $t - J$  model shares important features with the Hubbard model on highly frustrated lattices. The lowest single-fermion band is completely flat (for a specific choice of the hopping parameters  $t_{i,j}$  in the case of the sawtooth chain), giving rise to single-particle excitations which can be localized in real space. These localized excitations do not interact for sufficient spatial separations such that exact many-electron states can also be constructed. Furthermore, all these excitations acquire zero energy for a suitable choice of the chemical potential  $\mu$ . This leads to: (i) a jump in the particle density at zero temperature, (ii) a finite zero-temperature entropy, (iii) a ferromagnetic ground state with a charge gap when the flat band is fully occupied and (iv) unusually large temperature variations when  $\mu$  is varied adiabatically at finite temperature.

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During the past years, it has been noted that exact ground states can be constructed for the antiferromagnetic  $XXZ$  model at high fields on a large class of highly frustrated lattices (see [1,2,3] and references therein). This leads to a finite zero-temperature entropy exactly at the saturation field and an enhanced magnetocaloric effect [2,4,5,6], suggesting potential applications for efficient low-temperature magnetic refrigeration [4,7]. Recently, we have pointed out [8] analogies to flat-band ferromagnetism in the Hubbard model on the same lattices (see e.g. [9,10,11,12,13]).

Here we will illustrate some of the issues with exact diagonalization results for the  $t - J$  model. The  $t - J$  model arises as the large- $U$  limit of the Hubbard model and is defined by the Hamiltonian

$$H = \sum_{\sigma} \sum_{\langle i,j \rangle} t_{i,j} P \left( c_{i,\sigma}^{\dagger} c_{j,\sigma} + c_{j,\sigma}^{\dagger} c_{i,\sigma} \right) P + \sum_{\langle i,j \rangle} J_{i,j} \left( \mathbf{S}_i \cdot \mathbf{S}_j - \frac{1}{4} n_i n_j \right) + \mu \sum_{i=1}^N n_i. \quad (1)$$

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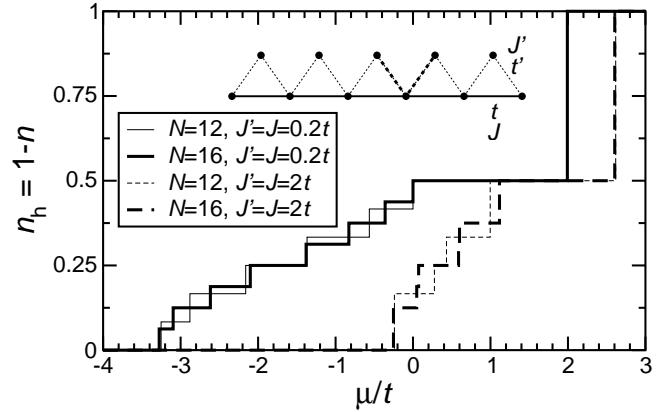


Fig. 1. Inset: The sawtooth chain model. Filled circles show electron sites. The hopping (magnetic exchange) are  $t$  ( $J$ ) along the base line and  $t'$  ( $J'$ ) along the dashed zigzag-line, respectively. The bold valley shows the area occupied by a localized excitation. Main panel: Hole density  $n_h = 1 - n$  at temperature  $T = 0$  as a function of chemical potential  $\mu$  for  $t' = \sqrt{2}t > 0$  and two choices of  $J' = J$ .

The sums run over the nearest-neighbor pairs  $\langle i,j \rangle$  of a lattice with  $N$  sites.  $c_{i,\sigma}^{\dagger}$  and  $c_{i,\sigma}$  are the usual fermion creation and annihilation operators,  $P$  is the projector which eliminates doubly occupied sites,  $n_i = c_{i,\uparrow}^{\dagger} c_{i,\uparrow} + c_{i,\downarrow}^{\dagger} c_{i,\downarrow}$  is the total number operator at site  $i$ , and  $\mathbf{S}_i$  are spin-1/2 operators acting on an occupied site  $i$ .

Here we will concentrate on the sawtooth chain model sketched in the inset of Fig. 1. The lower of the two branches of the single-electron dispersion becomes completely flat for  $t' = \sqrt{2}t$ . For this choice one can construct first localized single-electron excitations living in one of the valleys of the sawtooth chain (bold dashed line in the inset of Fig. 1), and then excitations with  $N_{\text{el}}$  electrons which are non-interacting for sufficient spatial separations and thus have energy  $E = (-2t + \mu)N_{\text{el}}$ , in exactly the same manner as for the Hubbard model [8]. So far, the magnetic exchanges  $J_{i,j}$  are arbitrary. However, it will turn out that they should be chosen sufficiently weak in order to ensure that the non-interacting localized many-electron states are the ground states in their respective particle number subspaces. At half filling  $n = \langle n_i \rangle = 1$  only the magnetic part of the  $t - J$  model survives such that it reduces to the previously studied antiferromagnetic spin-1/2 Heisenberg model (see [1,3,4,5,6] for the sawtooth chain).

The main panel of Fig. 1 shows finite-system results for  $n_{\text{h}} = 1 - n$  at  $T = 0$  versus  $\mu$  for  $t' = \sqrt{2}t$  (these curves are the electronic counterpart of the magnetization curves [3]). For small magnetic exchange (like  $J' = J = 0.2t$ ), there is a jump of height  $\delta n = 1/2$  exactly at  $\mu = 2t$ . At this point, all localized many-electron excitations collapse to  $E = 0$ . Furthermore, for  $N = 12$  the number of ground states is 1, 12, 54, 112, 105, 36, 7 in the sectors with  $N_{\text{el}} = 0, 1, 2, 3, 4, 5, 6$ , respectively. This leads to a ground-state entropy per site  $\ln(327)/12 = 0.48\dots$  at  $\mu = 2t$  for  $N = 12$ . The ground-state degeneracies are exactly the same as for the Hubbard model [14] consistent with the ground states of the  $t - J$  model for small  $J_{i,j}$  and  $N_{\text{el}} \leq N/2$  being projections of those of the Hubbard model. General theorems for the Hubbard model imply a saturated ferromagnetic ground state for  $N_{\text{el}} = N/2$  (see e.g. [11,12,13] for the sawtooth chain). Numerically, we find a fully saturated ferromagnet for the  $t - J$  model in the sectors with  $N_{\text{el}} = N/2$  and  $N/2 - 1$ . The plateau at  $n = N_{\text{el}}/N = 1/2$  in the  $n(\mu)$ -curve in Fig. 1 shows that the ground state is a saturated ferromagnet for  $0 < \mu < 2t$ , corresponding to an appreciable charge gap.

The situation changes for larger antiferromagnetic  $J_{i,j}$ , as illustrated for  $J' = J = 2t$  in Fig. 1. In this case the localized states are no longer the lowest-energy states. This is signalled by a shift of the jump between  $n = 1/2$  and  $n = 0$  to  $\mu > 2t$  which now corresponds to a true first-order transition. The charge gap, *i.e.*, the plateau at  $n = 1/2$  is also present in this case.

The ground-state degeneracies are reflected by thermodynamic properties, as illustrated for the entropy  $S$  in Fig. 2 (the curves of constant  $S$  correspond to the adiabatic demagnetization curves of the magnetic counterpart [4]). In particular, the finite  $T = 0$  entropy at  $\mu = 2t$  leads to large temperature changes during adiabatic variations of  $\mu$ , even cooling to  $T = 0$  as  $\mu \rightarrow 2t$  at low temperatures. The low-temperature properties for  $\mu$  close to  $2t$  are controlled by the localized states and are independent of the details of the microscopic model ( $J_{i,j}$  in the  $t - J$  model and  $U$  in

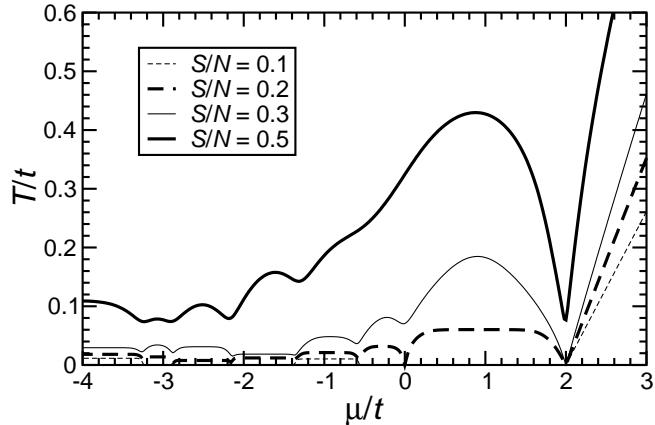


Fig. 2. Curves of constant entropy  $S$  for  $t' = \sqrt{2}t > 0$ ,  $J' = J = 0.2t$ , and  $N = 12$  sites.

the Hubbard model [14]); finite-size effects are also small in this region. By contrast, the behavior for  $\mu < 0$  in Fig. 2 exhibits strong finite-size effects at low temperatures and depends on details of the model: for example, in this region the presence of doubly occupied sites leads to qualitatively different behavior of the Hubbard model [14].

We have focussed on the sawtooth chain, but it should share important features with a large class of highly frustrated lattices such as the kagomé lattice [1,6,9] which do not require any fine-tuning. We expect that the  $t - J$  model with weak  $J_{i,j}$  has the same localized excitations as the repulsive Hubbard model such that it shares in particular the same properties with respect to flat-band ferromagnetism [9,10,11,12,13]. The main advantage of the  $t - J$  model is a substantially reduced Hilbert space dimension close to  $n = 1$  which simplifies a full diagonalization and thus the exact determination of finite-temperature properties of a finite system.

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